

## **Cabinet Committee on Performance Improvement**

Meeting to be held on Tuesday, 26 February 2019

### **Report of the Head of Legal & Democratic Services**

Electoral Division affected: (All Divisions);
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#### **Corporate Risk and Opportunity Register Quarter 4**

(Appendix "A" refers)

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#### **Executive Summary**

This report provides an updated (Quarter 4) Risk and Opportunity Register for the Committee to consider and comment upon.

#### **Recommendation**

The Committee is asked to note the updated Risk and Opportunity Register at Appendix A.

#### **Background and Advice**

Following the corporate approach to reporting on risk and opportunity the quarter 4 Risk and Opportunity register was reported to Corporate Management Team following a review of the register. The register has now been updated to reflect changing priorities and the budget. Following this committee, the Register will be presented to the next Audit, Risk & Governance Committee. An updated Corporate Risk and Opportunity Register is attached at Appendix A.

For this quarter there are no new entries to the register and two deletions. The deletions are:

- CR10 – Adult social care provision is adequate and responsive to meet current and future demand. Homecare mobilisation is almost complete and the strategic risk is improving and as a result the residual risk has been revised downwards.
- CR12 - Unlawful disclosure of personal or commercial data caused by a deliberate or accidental or technical breach resulting in a risk to the rights and freedoms of the data subject or the intellectual property of the county council. Between 1<sup>st</sup> October and 31<sup>st</sup> December 2018 there have been 91 information

security incidents reported. This is a decrease of 11.65% on the previous quarter. This indicates that incident reporting has further stabilised from the previous quarter and is an indication of growing awareness and understanding of staff responsibilities and the importance of information governance across the organisation. As result of this stabilisation and subsequent downward trajectory the residual risk score has been revised accordingly. However, this issue will continue to be monitored on a quarterly basis by Corporate Management Team.

<b>Risk Identification Number (RIN)</b>	<b>Risk Description</b>
CR1	<p>Delivering the Operational Plan to ensure a strong and sustainable County Council</p> <ul style="list-style-type: none"> <li>• Establishing a strong and visible leadership team</li> <li>• Embed a focus on service delivery to secure a better service at a lower cost</li> <li>• Develop a sustainable financial strategy</li> </ul> <p>Allowing for mitigating actions the residual score remains at 16 (Major/Likely)</p>
CR2	<p>Protect and safeguard children. Further mitigating actions added. Residual risk score has reduced to 12 (Major/Possible)</p>
CR3	<p>Complying with statutory requirements and duties relating to children looked after, children in need and children leaving care. Following the Ofsted inspection the residual risk score has been reduced to 12 (Major/Possible)</p>
CR4	<p>Recruit and retain experienced staff within Children's Services. Further mitigation actions added. Residual risk has reduced to 12 (Major/Possible)</p>
CR5	<p>Managing our data well and producing effective management information. Allowing for mitigating actions the residual score is 12 (Major/Possible)</p>
CR6	<p>Implement/maintain core systems that support the organisation, deliver transformational change and deliver efficiencies, cost reductions and produce effective management information that supports management decision making. Allowing for mitigating actions the residual score is 12 (Major/Possible)</p>
CR7	<p>Delivering major projects/schemes on time and within budget. Allowing for mitigating actions the residual score is 12 (Major/Possible)</p>
CR8	<p>Delivering a statutory service for children and young people with special educational needs and/or disabilities. Allowing for further mitigating actions the residual score has been reduced to 12 (Major/Possible)</p>
CR11	<p>Supporting disadvantaged families to fulfil their potential (Troubled Families Programme. Allowing for further mitigating actions the residual score remains at 16 (Major/Likely)</p>
CR13	<p>Children's Social Care - Increase in demand, including rise in number of contacts and referrals and an increase in Children</p>

	Looked After numbers. Allowing for mitigating actions this risk has a residual score of 16 (Major/Likely)
CR14	Intermediate care for older people in a residential setting. Allowing for mitigating actions this risk has a residual score of 12 (Major/Possible)
CO1	Delivering the Operational Plan to ensure a strong and sustainable County Council <ul style="list-style-type: none"> <li>• Delivering growth and prosperity for the whole of Lancashire</li> </ul> This opportunity has a score of 16 (Major/Likely)
CO2	Apprenticeship Levy and Apprentice % in Public Sector. This has an opportunity score of 15 (Outstanding/Possible)
CO3	Develop and implement improved recruitment and retention practices to address increasing challenges. This has an opportunity score of 16 (Major/Likely)

## Consultations

N/A

## Implications:

This item has the following implications, as indicated:

## Risk management

Good governance enables an authority to pursue its vision effectively as well as underpinning that vision with sound arrangements for control and management of risk. An Authority must ensure that it has a sound system of internal control which includes effective arrangements for the management of risk. Failure to develop and maintain a Corporate Risk & Opportunity Register means the Council would be negligent in its responsibilities for ensuring accountability and the proper conduct of public business.

## List of Background Papers

None

Reason for inclusion in Part II, if appropriate

N/A